***SWH TOOLKIT DOCUMENT #4***

Your City logo here

**Structural Criteria for Residential**

**Rooftop Solar Energy Installations**

**Use of this document**

This toolkit document includes a one-page list of structural criteria for over-the-counter or online approval, as well as attached tables and figures that supplement the criteria and explain their use.

This document applies to flush-mounted solar arrays installed on the roofs of wood-framed, one- and two- family dwellings. “Flush-mounted” means the modules are installed parallel to, and relatively close to, the roof surface (see the “Solar Array Check” section of the Structural Criteria for specific qualifying requirements). This list is intended to be a simple pre-installation check to gain reasonable assurance that the design of the solar array complies with the structural provisions of the 2013 California Building Code (CBC) and 2013

California Residential Code (CRC). It is not intended to provide post-installation inspection criteria.

**Currently Used Expedited Solar Permitting Approaches**

This document is intended for jurisdictions without an expedited process for residential solar structural permitting and is not intended to replace or supplant procedures for jurisdictions with an expedited process already in place. Good examples from jurisdictions with provisions for expedited structural permitting include the City of Los Angeles, which exempts residential solar installations from structural permitting if five simple requirements are met, and the East Bay Green Corridor’s streamlined solar permitting process, which uses structural criteria tailored to typical conditions for their consortium of nine cities.

**Regional and Site Assumptions**

This document is based on the following regional and site assumptions.

• The dwelling is located in a ZERO snow load area (see Map 1).

• The dwelling is not in Wind Exposure D (within 200 yards of the ocean or a large coastal bay).

• If in Wind Exposure B (urban, suburban or wooded areas), the dwelling may be located:

– in a Special Wind Region (see Map 2) with design wind speeds between 110 and 130 mph

– on a tall hill, provided average slope is no steeper than 15%

• If in Wind Exposure C (within 500 yards of large open fields or grasslands), the dwelling is:

• in a standard 110 mph design wind speed region

• not on a hill with a grade steeper than 5%.

**Additional Options**

The chief building official (CBO) may consider adding rows to the structural criteria, based on personal judgment and their jurisdiction’s conditions and history. Possible additional questions include the following.

• Regional and Site Checks

– If the jurisdiction is in a mixed snow load area, with zero snow load only at lower elevations, consider

asking, “Is the dwelling lower than elevation feet?”

– If the jurisdiction is in a coastal region, consider asking, “Is the dwelling farther than 200 yards from

the ocean or a large coastal bay?” to verify the dwelling is not in Wind Exposure D.

– If the jurisdiction is in a Special Wind Region with design wind speeds between 115 and 130 mph,

consider verifying that the dwelling is in Wind Exposure B by asking, “Is the dwelling in an urban,

suburban or wooded area and not within 500 yards of open fields and grasslands?”

– If the jurisdiction is in a Special Wind Region with design wind speeds between 115 and 130 mph,

consider verifying that there are no significant topographic wind speed-up effects by asking, “Is the

dwelling in a relatively flat area (grade less than 5%) and not within 500 yards of the crest of a tall hill?”

• Roof Check

– Based on the jurisdiction’s one- and two-family housing stock and code compliance history, many

CBOs will find it reasonable to assume that most dwellings’ roof structures were designed to the

building code in effect at the time the houses were built. If so, the roof structure code compliance

check consists of the contractor’s visual roof audit, checking for unusual sagging or deterioration,

without requiring additional measurements of existing rafters to check against span tables.

– For CBOs of jurisdictions with evidence of structurally deficient one- and two-family housing stock

or poor structural code compliance history, the CBO may elect to add the rafter span check option

described in the criteria.

**The Structural Toolkit and CRC Wind Speeds**

The 2013 CRC contains an inconsistency related to wind speeds. Despite referencing ASCE 7-10 as its

standard, the 2013 CRC’s text and tables use outdated ASCE 7-05 wind speeds. Under the old ASCE 7-05/CBC

2010, the basic design wind speed in most regions of the state was 85 mph (max. 3 second gust in 50 years).

Under ASCE 7-10/CBC 2013, the design wind speed has increased to 110 mph (max. 3 second gust in 700

years). Despite the different definitions of wind speed, design wind pressures remain essentially unchanged.

Because the toolkit’s structural document is intended to be forward looking, all wind speeds in the toolkit

document are based on the ASCE 7-10. This is clearly stated in the caption to the state wind speed map and in Table

1 footnotes. This anticipates an obvious and expected correction to the CRC; otherwise the toolkit would become

immediately outdated when the CRC is amended to change the base design wind speed from 85 mph to 110 mph.

2013 CRC text (ASCE 7-05) wind speeds equivalent to the 2013 CRC and CBC Reference Standard (ASCE

7-10) are shown below. See ASCE 7-10 Table C26.5-6 for additional information.

2013 CRC text 2013 CRC and CBC Referenced Standard

ASCE 7-05 ASCE 7-10

85 mph 110 mph

90 mph 115 mph

95 mph 120 mph

100 mph 126 mph

105 mph 133 mph

**Structural Technical Appendix**

This toolkit document is supported by a Structural Technical Appendix that describes the technical analysis behind these criteria, which are based on structural engineering principles and the California Building and Residential Codes. The Technical Appendix also provides some additional guidance to address nonconforming items, such as when an anchor layout is not based on a solar support component manufacturer’s guidelines or when a coastal site is located within 200 yards of the ocean (Exposure D). [**http://www.opr.ca.gov/docs/Solar\_**](http://www.opr.ca.gov/docs/Solar_) **Structural\_Technical\_Appendix.pdf**.

**Probability of Code Compliance**

The Structural Technical Appendix includes a section that examines the probabilities associated with the assumptions behind Table 1 that allows six-foot cross-slope anchor spacing in some circumstances. That statistical analysis estimates that the probability of code noncompliance for six-foot anchor spacing is only 2 in 1,000 installations (0.2%). Note that probability of structural failure is orders of magnitude lower than the probability of code noncompliance.

**Map 1. California Ground Snow Load Map (Ref: ASCE 7-10).**

The numbers in parentheses represent the upper elevation limits in feet for the ground snow load in psf listed below the elevation. Example: (2400) ZERO in the South San Francisco Bay Area indicates that zero ground snow loads occur from sea level up to an elevation of 2,400 feet. CS indicates “Case Studies” where extreme local variations in ground snow loads occur. Non-zero snow load areas and CS areas are excluded from the use of this structural toolkit document. See the Technical Appendix for additional information.

**Map 2. California Design Wind Speed Map (Ref: ASCE 7-10).**

The number outside the parentheses represents the design wind speed in mph. Typical design wind speed is 110 mph. The gray shaded areas on the map indicate “Special Wind Regions” where higher wind speeds may apply. When the project is in a gray shaded area, contact the local building department for the design wind speed.

**STRUCTURAL CRITERIA FOR RESIDENTIAL FLUSH-MOUNTED SOLAR ARRAYS**

1. ROOF CHECKS

|  |  |
| --- | --- |
| A. Visual Review/Contractor’s Site Audit of Existing Conditions: |  |
| 1) Is the roof a single roof without a reroof overlay? | Y | N |
| 2) Does the roof structure appear structurally sound, without signs of alterations |  |  |
| or significant structural deterioration or sagging, as illustrated in Figure 1? | Y | N |

B. Roof Structure Data:

1) Measured roof slope (e.g. 6:12):

2) Measured rafter spacing (center-to-center):

 :12

 inch

3) Type of roof framing (rafter or manufactured truss): Rafter Truss

2. SOLAR ARRAY CHECKS

A. Flush-mounted Solar Array:

1) Is the plane of the modules (panels) parallel to the plane of the roof? Y N

2) Is there a 2” to 10” gap between underside of module and the roof surface? Y N

3) Modules do not overhang any roof edges (ridges, hips, gable ends, eaves)? Y N

B. Do the modules plus support components weigh no more than:

4 psf for photovoltaic arrays or 5 psf for solar thermal arrays? Y N

C. Does the array cover no more than half of the total roof area (all roof planes)? Y N

D. Are solar support component manufacturer’s project-specific completed worksheets,

tables with relevant cells circled, or web-based calculator results attached? Y N

E. Is a roof plan of the module and anchor layout attached? (see Figure 2) Y N

F. Downward Load Check (Anchor Layout Check):

1) Proposed anchor horizontal spacing (see Figure 2):

2) Horizontal anchor spacing per Table 1:

 ’ - ”ft-in

 ’ - ”ft-in

3) Is proposed anchor horizontal spacing equal to or less than Table 1 spacing? Y N G. Wind Uplift Check (Anchor Fastener Check):

1) Anchor fastener data (see Figure 3):

a. Diameter of lag screw, hanger bolt or self-drilling screw:

b. Embedment depth of rafter:

c. Number of screws per anchor (typically one):

d. Are 5/16” diameter lag screws with 2.5” embedment into the rafter

 inch

 inch

used, OR does the anchor fastener meet the manufacturer’s guidelines? Y N

3. SUMMARY

A. All items above are checked YES. No additional calculations are required.

B. One or more items are checked NO. Attach project-specific drawings and calculations stamped and signed by a

California-licensed civil or structural engineer.

Job Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Permit #: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Contractor/Installer: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ License # & Class: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_ Phone #: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Optional Additional Rafter Span Check Criteria**

[ At option of CBO, insert rows (4) to (7) below into table above after row 1.B.(3) ]

1. ROOF CHECKS

B. Roof Structure Data:

4) Measured rafter size (e.g. 13/4 x 33/4, not 2x4):

5) Measured rafter horizontal span (see Figure 4):

6) Horizontal rafter span per Table 2:

\_\_\_\_ x \_\_\_\_ inch

 ’ - ”ft-in

 ’ - ”ft-in

7) Is measured horizontal rafter span less than Table 2 span? Y N Truss

(Jurisdictions may delete “Optional Additional Rafter Span Check” at bottom of this page, or incorporate into main list of Structural Criteria above.)

|  |
| --- |
| Table 1. Maximum Horizontal Anchor Spacing |
| Roof Slope | Rafter Spacing |
| 16” o.c. | 24” o.c. | 32” o.c. |
| Photovoltaic Arrays (4 psf max) |
| Flat to 6:12 | 0° to 26° | 5’-4” | 6’-0” | 5’-4” |
| 7:12 to 12:12 | 27° to 45° | 1’-4” | 2’-0” | 2’-8” |
| 13:12 to 24:12 | 46° to 63° | 1’-4” | 2’-0” | 2’-8” |
| Solar Thermal Arrays (5 psf max) |
| Flat to 6:12 | 0° to 26° | 4’-0” | 4’-0” | 5’-4” |
| 7:12 to 12:12 | 27° to 45° | 1’-4” | 2’-0” | 2’-8” |
| 13:12 to 24:12 | 46° to 63° | Calc. Req’d | Calc. Req’d | Calc. Req’d |

*Solar support component manufacturer’s guidelines may be relied upon to ensure the array above the roof is properly designed, but manufacturer’s guidelines typically do NOT check to ensure that the roof itself can support the concentrated loads from the solar array. Table 1 assumes that the roof complied with the building code in effect at the time of construction, and places limits on anchor horizontal spacing to ensure that a roof structure is not overloaded under either downward loads or wind uplift loads. Note 4 below lists the basic assumptions upon which this table is based.*

Table 1 Notes:

1. Anchors are also known as “stand-offs,” “feet,” “mounts” or “points of attachment.” Horizontal anchor spacing is also known as “cross-slope” or “east-west” anchor spacing (see Figure 2).

2. If anchors are staggered from row-to-row going up the roof, the anchor spacing may be twice that shown above, but no greater than 6’-0”.

3. For manufactured plated wood trusses at slopes of flat to 6:12, the horizontal anchor spacing shall not exceed 4’-0” and anchors in adjacent rows shall be staggered.

4. This table is based on the following assumptions:

• The roof structure conformed to building code requirements at the time it was built.

• The attached list of criteria is met.

• Mean roof height is not greater than 40 feet.

• Roof sheathing is at least 7/16” thick oriented strand board or plywood. 1x skip sheathing is acceptable.

• If the dwelling is in Wind Exposure B (typical urban, suburban or wooded areas farther than 500

yards from large open fields), no more than one of the following conditions apply:

– The dwelling is located in a Special Wind Region with design wind speed between 115 and

130 mph per ASCE 7-10.

– The dwelling is located on the top half of a tall hill, provided average slope is less than 15%.

• If the dwelling is in Wind Exposure C (within 500 yards of large open fields or grasslands), all of the

following conditions apply.

– Design wind speed is 110 mph or less (not in a Special Wind Region).

– The dwelling is not located on the top half of a tall hill.

• The solar array displaces roof live loads (temporary construction loads) that the roof was originally

designed to carry.

• The Structural Technical Appendix provides additional information about analysis assumptions.

|  |
| --- |
| Table 2. Roof Rafter Maximum Horizontal Span (feet - inches)1 |
| AssumedVintage | NominalSize | ActualSize | Non-Tile Roof2 | Tile Roof3 |
| Rafter Spacing |
| 16” o.c. | 24” o.c. | 32” o.c. | 16” o.c. | 24” o.c. | 32” o.c. |
| Post-1960 | 2x4 | 1½”x3½” | 9’-10” | 8’-0” | 6’-6” | 8’-6” | 6’-11” | 5’-6” |
| 2x6 | 1½”x5½” | 14’-4” | 11’-9” | 9’-6” | 12’-5” | 10’-2” | 8’-0” |
| 2x8 | 1½”x7¼” | 18’-2” | 14’-10” | 12’-0” | 15’-9” | 12’-10” | 10’-3” |
| Pre-1960 | 2x4 | 1¾”x3¾” | 11’-3” | 9’-9” | 7’-9” | 10’-3” | 8’-6” | 6’-9” |
| 2x6 | 1¾”x5¾” | 17’-0” | 14’-0” | 11’-3” | 14’-9” | 12’-0” | 9’-9” |
| 2x8 | 1¾”x7¾” | 22’-3” | 18’-0” | 14’-6” | 19’-0” | 15’-6” | 12’-6” |

*Beyond a visual review by the contractor checking for unusual sagging or deterioration, some CBOs may want additional assurance that the roof structure complies with structural building code requirements. Table 2 is an optional table some CBOs may elect to use to provide additional assurance by requiring a check of existing roof rafter spans, and supports optional criteria 1.B.5 and 1.B.6. For post-1960 construction, these span tables match the rafter span tables found in the 2013 California Building and Residential codes. For pre-1960 construction, the rafter span tables are based on structural calculations with lumber sizes and wood species and grade appropriate for older construction. Note 5 below lists the basic assumptions upon which this table is based.*

Table 2 Notes:

1. See Figure 4 for definition of roof rafter maximum horizontal span.

2. “Non-tile Roof ” = asphalt shingle, wood shingle and wood shake, with an assumed roof assembly weight

of 10 psf.

3. “Tile Roof ” = clay tile or cement tile, with an assumed roof assembly weight of 20 psf

4. Unaltered manufactured plated-wood trusses may be assumed to be code compliant and meet intent of

Table 2.

5. This table is based on the following assumptions:

• Span/deflection ratio is equal to or greater than 180.

• For post-1960 construction, wood species and grade is Douglas Fir-Larch No. 2.

• For pre-1960 construction, wood species and grade is Douglas Fir-Larch No. 1.

• Other wood species and/or grade are also acceptable if allowable bending stress is equal or greater to

that listed.



**Figure 1. Roof Visual Structural Review (Contractor’s Site Audit) of Existing Conditions.**

The site auditor should verify the following:

1. No visually apparent disallowed rafter holes, notches and truss modifications as shown above.
2. No visually apparent structural decay or un-repaired fire damage.
3. Roof sag, measured in inches, is not more than the rafter or ridge beam length in feet divided by 20.

Rafters that fail the above criteria should not be used to support solar arrays unless they are first strengthened.



**Figure 2. Sample Solar Panel Array and Anchor Layout Diagram (Roof Plan).**



**Figure 3. Typical Anchor with Lag Screw Attachment.**



**Figure 4. Definition of Rafter Horizontal Span.**

(Attach Figure 4 ONLY if the Optional Additional Rafter Span Check is added to the list of Structural Criteria.)